

**Lindsey Simon**  
**Simon Compliance LLC**

When Lindsey was General Counsel and Chief Compliance Officer of Carpe Diem Capital Management, a hedge fund in Chicago, she was surprised that as a former SEC enforcement attorney and Winston & Strawn attorney, she still felt unprepared for the minutiae of running a compliance program. Whenever she called outside counsel or compliance consultants, she was frustrated, not only with the ensuing bills, but also with the lack of answers she received. Lindsey models Simon Compliance on the type of advice, handholding and rates she would have liked when she was in-house.

With more than 20 years of experience as a securities professional, Lindsey has been featured in several industry publications, including *Crain's Chicago Business*, *The Wall Street Journal* and *Bloomberg Business Week*. She specializes in private equity registration, hedge fund registration, mock SEC audits and ongoing compliance management for investment advisers.

Lindsey served as a Senior Attorney with the United States Securities and Exchange Commission in the Division of Enforcement and as General Counsel and Chief Compliance Officer at Carpe Diem Capital Management, a Chicago-based hedge fund. She was an Associate in the litigation department of the Chicago office of Winston & Strawn and also served as a law clerk for the Honorable William J. Bauer of the Seventh Circuit Court of Appeals.

Lindsey received her B.A., *cum laude*, from Emory University, and her J.D. from Northwestern University School of Law, where she was Editor-in-Chief of the *Journal of Criminal Law and Criminology*. Lindsey is a member of the Illinois bar, the Economic Club of Chicago and the Midwest Chapter of the Private Equity CFO Association Advisory Board.

Lindsey Simon | Founder

Simon Compliance

C: 312-213-0235

[Lindsey@SimonCompliance.com](mailto:Lindsey@SimonCompliance.com)

[www.simoncompliance.com](http://www.simoncompliance.com)